



Engaged Management Method™ Fee Schedule

Developing Your Method™ \$1000

Our relationship begins, and continues with an extensive, annual conversation regarding the four steps of a written strategy called Your Method™. We will discuss your family, careers, and lifestyle. The four steps are:

Net Worth: home, assets, liabilities, investments. **Cash Flow:** income, expenses, taxes, savings.

Life Goals: retirement, education, new home, major purchases. **Risk Management:** risk tolerance, time horizon, overall asset allocation, tax strategy, insurance, estate planning.

Investment Management Percentage of Assets

Assets Under Management	Annual Percentage Charged	Annual Financial Planning	Includes
100 - 300	1.5%	Engaged	Developing Your Method™
300 – 500	1.25%	Engaged Plus	adds one 401k plan evaluation
500 - 800	1.0%	Advanced	Complete net worth evaluation
800 – 1.2 million	0.75%	Extended	Cash flow statement review
1.2 mil – 1.5 mil	0.70%	Extended Plus	adds a retirement goal projection
1.5 mil - 2 million	0.60%	Comprehensive	In-depth cash flow analysis and
2 million +	0.50%	Platinum	complete life goal forecasting

There may be a set-up fee assessed for investment management accounts under \$250,000.

Extensive Financial Planning - Additional Modules

	Manage Net Worth
Fee determined by number and complexity of accounts	\$500 - \$1,500
Specific recommendations on investment alternatives and debt management	
Review of the following statements	
Brokerage and IRA accounts	
Education savings, annuities, limited partnerships	
Stocks, bonds, CD's, money market	
401k plan balances and investment options	
Stock Options, Restricted Stock and Vesting Schedules	
	Determine Cash Flow
Review of the following statements (fee determined by extent of analysis):	\$500 - \$1,000
Tax returns for the past two years	
Pay stubs (including bonuses)	
Reports detailing your expenses, income, and savings deposits	
Year-end and current checking and savings account statements	
	Forecast Life Goals
Retirement: Provide social security statements and pension formulas	\$500 - \$1500
Education: Capital Gains and Gifting Strategies, 529 Plans	
Real Estate and Major Purchases	
	Risk Management
Portfolio Behavior Analysis™	\$500 - \$1500
Overall Asset Allocation	
Tax Strategy	
Insurance Needs and Estate Planning	

Financial plan implementation and year-round support is available for an additional fee.